





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
Kenji ITOH et al. ) Examiner: Marianne L. Padgett  
Serial No. 09/412,510 ) Group Art Unit: 1762  
Filed: October 5, 1999 )  
For: PROCESS FOR TREATING A SUBSTRATE WITH A PLASMA

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or being facsimile transmitted to the USPTO at \_\_\_\_\_, on June 29, 2004.

Signature:

Name: Pamela L. Patrick

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The Commissioner is authorized to charge the amount of \$180.00 to Deposit Account No. 19-2380 (740756-2045) in compliance with the provisions of 37 C.F.R. § 1.97(c)

Applicants wish to note that U.S. Patent Nos. 4,816,113; 4,902,531; 4,979,467 and 5,382,911 were previously cited in co-pending Application Serial No. 09/438,581. Applicants also note that JP03-241739 was previously cited by the Japanese Patent Office against a counterpart Japanese Application and U.S. In addition, U.S. Patent Nos. 5,126,164; 5,275,665 and 5,733,610 are considered to be in the same family as JP03-241739.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initial a copy of this form be returned to the undersigned.

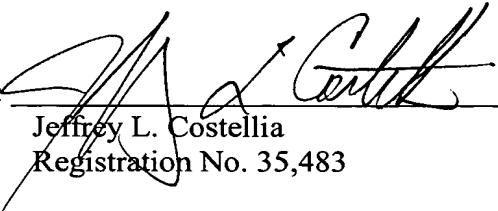
07/06/2004 EFLURES 00000163 192380 09412510

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The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

By:

  
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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/412,510
Sheet	1	of	1	Filing Date	October 5, 1999
				First Named Inventor	Kenji ITOH et al.
				Art Unit	1762
				Examiner Name	Marianne Padgett
				Attorney Docket Number	740756-2045

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-4,816,113	03/28/1989	Yamazaki	
		US-4,902,531	02/20/1990	Nakayama et al.	
		US-4,979,467	12/25/1990	Kamaji et al.	
		US-5,382,911	01/17/1995	Cotler et al.	
		US-5,126,164	03/30/1992	Okazaki et al.	
		US-5,275,665	01/04/1994	Okazaki et al.	
		US-5,733,610	03/31/1995	Okazaki et al.	
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> Number <sup>4</sup> (if known)			
		JP-03-241739	10/28/1991	OKAZAKI et al.	AB

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.